

STATE OF NORTH CAROLINA
COUNTY OF WAKE

IN THE GENERAL COURT OF JUSTICE
SUPERIOR COURT DIVISION

File No. _____

STATE OF NORTH CAROLINA, *ex rel.*)
ROY COOPER, ATTORNEY GENERAL,)

Plaintiff,)

v.)

THE MANDATORY POSTER AGENCY,)
INC., d/b/a CORPORATE RECORDS)
SERVICE and NORTH CAROLINA)
LABOR LAW POSTER SERVICE,)
STEVEN J. FATA, THOMAS FATA,)
and JOE FATA,)

Defendants.)

FILED
2003 AUG 29 AM 8:11
BY WAKE CO. C.S.C.

COMPLAINT
(Temporary Restraining Order Requested)

I. INTRODUCTION

Plaintiff State of North Carolina, by and through its Attorney General, brings this deceptive trade practices action against defendants, alleging they are attempting to deceive and are deceiving corporations that are registered with the Secretary of State. Plaintiff alleges that defendants do this through mailings which create the impression that filling out an enclosed form and paying a fee to defendants will constitute compliance with North Carolina's corporate record-keeping requirements. Plaintiff seeks temporary, preliminary and permanent injunctive relief, together with restitution for victims, civil penalties and attorneys' fees.

PLAINTIFF COMPLAINS OF DEFENDANTS AND ALLEGES AND SAYS AS
FOLLOWS:

II. PARTIES

1. Plaintiff is the State of North Carolina, on relation of its Attorney General, who brings this action pursuant to authority found in Chapters 75 and 114 of the North Carolina General Statutes and the Court's general equitable authority.
2. Defendant Mandatory Poster Agency, Inc., d/b/a Corporate Records Service and North Carolina Labor Law Poster Service, is a Michigan corporation which, upon information and belief, has its principal place of business in Lansing, Michigan. Because the most recent mailings in North Carolina have used the name Corporate Records Service, this Complaint will refer to the defendant company as "CRS". These mailings list the North Carolina address of the company as 3434 Edwards Mill Rd. Ste 112 #325, Raleigh, NC 27612-4276, which is a rented mailbox within The UPS Store at 3434 Edwards Mill Road, Raleigh, North Carolina 27612.
3. Defendants Steven Fata, Thomas Fata, and Joe Fata are residents of the State of Michigan and are co-owners and officers of CRS. Upon information and belief, defendants Steven Fata, Thomas Fata, and Joe Fata devised, control and supervise the acts, practices, representations and omissions of CRS which form the basis for this action, and they are sued both in their individual capacities and in their capacities as officers of defendant CRS. In Michigan corporate filings, attached hereto as Plaintiff's Exhibit 1, Thomas Fata is listed as President of CRS as well as its registered agent. The registered agent address is 5859 W. Saginaw Highway, #343, Lansing, MI, 48917-2460.

III. FACTUAL BACKGROUND

4. In recent days, defendants mailed forms to businesses that were incorporated in North Carolina or registered to do business in North Carolina. A representative copy of such form is included in the affidavit of attorney Charles E. Davis of Alamance County, NC, which is attached to this Complaint as Plaintiff's Exhibit 2. Mr. Davis received the form on August 7, 2013, and it states "Please Respond by Sept. 4, 2013."

5. The forms bear the heading "2013 - ANNUAL CORPORATE RECORDS FORM" and the sub-heading "SHAREHOLDERS, DIRECTORS AND OFFICERS." They provide space for the recipient to fill in the name of up to eight shareholders, eight directors, and eight officers of the corporation, but no space for any further information. In small print, the forms state that CRS "will prepare and provide corporate records that meet the following requirements of North Carolina law" and cite the following portions of three North Carolina statutes:

NORTH CAROLINA BUSINESS CORPORATIONS ACT § 55-7-01: Annual meeting ... "A corporations shall hold a meeting of shareholders annually at a time stated in or fixed in accordance with the bylaws." NORTH CAROLINA BUSINESS CORPORATIONS ACT § 55-8-20(a): "The board of directors may hold regular or special meetings in or out of this State."
NORTH CAROLINA BUSINESS CORPORATION ACT § 55-16-01: Corporate records ... "A corporations shall keep as permanent records of minutes of all meetings of its incorporators, shareholders and board of directors, a record of all actions taken by the shareholders or board of directors without a meeting, and a record of all actions taken by a committee of the board of directors in place of the board of directors on behalf of the corporation." (Emphasis in original)

6. Completion of and submission of this form would not constitute compliance with North Carolina law, nor would it allow defendants to create any documents that would comply even minimally with North Carolina's corporate record-keeping requirements. Under N.C.G.S. § 55-16-01, corporations must: (a) keep minutes of all meetings of its incorporators, shareholders, and

board of directors, keep records of all actions taken by the shareholders or board of directors without a meeting, and keep records of all actions taken by a committee of the board of directors; (b) maintain appropriate accounting records; (c) maintain a list of the names and addresses of its shareholders; (d) maintain its records in written form or in a form capable of conversion to written form with a reasonable time; and (e) keep numerous records at its principal office, including articles of incorporation, bylaws, resolutions creating classes of shares, minutes of shareholders' meetings for the past three years, written communications to shareholders for the past three years, financial statements required to be made available to shareholders for the past three years, a list of current directors and officers, and its most recent annual report. These requirements far exceed the minimal information solicited by defendants.

7. The bottom of the form provides space for the recipient to provide payment information, either by enclosing a check or entering a credit card number, and to provide a signature for authorization. The overall appearance of the form is similar to an invoice.

8. Included with the form is an instruction sheet, titled "INSTRUCTIONS FOR COMPLETING THE ANNUAL CORPORATE RECORDS FORM; (North Carolina Corporations)." After listing the steps required to complete the form, the instructions state:

Submit the Annual Corporate Records Form together with the payment for preparation of documents to satisfy the annual records requirement for your corporation. **Submit payment for \$125.00 payable to Corporate Records Service and mail to:**

**CORPORATE RECORDS SERVICE
3434 Edwards Mill Rd Ste 112 #325
Raleigh, NC 27612-4276
(888) 408-0886**

The instruction sheet, therefore, implies that the recipient must submit the completed form with

payment in order to satisfy a requirement of North Carolina law. In fact, submission of this form would not satisfy any requirement of North Carolina law.

9. The address listed in the preceding paragraph is that of the private mailbox located in The UPS Store at 3434 Edwards Mill Road in Raleigh, North Carolina.

10. While defendants' form does state in small print that corporate records may also be prepared by corporate officers or other agents, that CRS is not a government agency, and that CRS does not contract with a government agency to provide this "service," the overall effect of the mailings is to suggest that filling out the form and providing payment satisfies some requirement of North Carolina law. Even if a recipient understands that completing the form itself is not required by law and decides to purchase the "service," defendants have blatantly and inaccurately stated at the top of the form that their "service" is to prepare corporate records that will comply with North Carolina law.

11. The North Carolina Secretary of State's Office and the North Carolina Attorney General's Office have received several examples of notices like Plaintiff's Exhibit 2 in recent weeks. They have received numerous telephone and email inquiries about the notices as well.

12. On August 23, 2013 plaintiff's representative, Ms. Linda Matthews, visited the UPS Store on Edwards Mill Road in Raleigh seeking information on defendant's mailbox. One of the store's employees showed her a stack of approximately 40 pieces of mail addressed to that box and stated that the box received stacks of envelopes daily addressed to CRS. The affidavit of Ms. Matthews is attached hereto as Plaintiff's Exhibit 3. A copy of the private mailbox rental agreement that defendant Steven Fata executed with The UPS Store in Raleigh is attached as an exhibit to her affidavit.

13. As reflected in the attached Affidavit of Cheri L. Myers, Director of the Corporations Division of the North Carolina Secretary of State, since August 6, 2013, the Secretary of State's office has received in excess of two hundred calls, faxes or e-mails regarding defendants' mailing. These inquiries and complaints indicate that North Carolina businesses are unsure if this is a government sponsored notice. Many callers are confused about whether "Annual Corporate Records" is a mandatory filing that would jeopardize their corporate status if they fail to comply with the demands of the solicitation. This affidavit is attached hereto as Plaintiff's Exhibit 4.

14. On February 8, 2013, the Wisconsin Attorney General filed an enforcement action against defendants CRS, Thomas Fata and Steven Fata. As shown by the attached copy of the Complaint that was filed in the Wisconsin deceptive trade practices action, Plaintiff's Exhibit 5, the contents or which are incorporated herein by reference, defendants recently engaged in similar behavior in that state.

15. In the past year, several states have encountered and warned their citizens about similar mailings by defendants circulating in their jurisdictions. The mailings directed recipients to send money and corporate information to mail drops in the capital cities of those states. Attached to Plaintiff's Exhibit 3 are some of the warnings about defendants' activities that have been issued recently by government agencies in other states, including Texas, Massachusetts, Pennsylvania, Tennessee, Arizona, Delaware, Indiana, Illinois, Virginia, and Michigan.

16. In 2007, Plaintiff filed a similar unfair and deceptive practices suit against these same defendants. Plaintiffs alleged that defendants engaged in deceptive business practices by sending mailings to North Carolina companies which were intended to resemble government notices and which used scare tactics to sell posters regarding labor laws and hand-washing laws that were

available for free from government agencies. Plaintiff entered into a Consent Judgment with defendants on February 13, 2007, which is attached hereto as Plaintiff's Exhibit 6.

17. Defendants' recent mailings have a tendency and capacity to deceive honest and unsuspecting businesses. These mailings can lead recipients into the false belief that their corporations must complete the forms and provide a \$125 payment in order to comply with North Carolina law, when in fact, no such action is required or necessary, and completion of the forms would not allow defendants to provide any service that would comply with North Carolina's corporate record-keeping requirements.

18. Defendants' recent mailings have misled honest and unsuspecting businesses into paying money to defendants that they are not obliged to pay and that will not result in a legitimate service being performed.

19. Defendants' above described business practices have been in and affecting commerce in North Carolina and are having a substantial and negative impact thereon.

20. Defendants have devised and carried out the above described business practices knowingly and deliberately.

IV. CLAIM FOR RELIEF: VIOLATION OF THE UNFAIR AND DECEPTIVE TRADE PRACTICES ACT; N.C. GEN. STAT. § 75-1.1, *ET SEQ.*

21. Plaintiff incorporates paragraphs 1 through 20, above, and alleges further that the above alleged acts, practices, representations and omissions of defendants violate the prohibition against unfair and deceptive business practices found in Section 75-1.1 of the North Carolina General Statutes. Plaintiff is therefore entitled to the statutory relief prayed for below.

V. REQUEST FOR TEMPORARY RESTRAINING ORDER

22. As shown by the Affidavit of Linda Matthews and the attached Plaintiff's Exhibits 1 through 6, defendants' above alleged activities have been ongoing and persistent in other states. Victims have been sending items to defendants' mail drop on Edwards Mill Road in Raleigh. Plaintiff therefore seeks a Temporary Restraining Order against defendants pursuant to N.C. Gen. Stat. § 75-1.1, *et seq.*, or under the Court's traditional equitable powers, so that additional illegal activities and further harm to the public might be prevented.

VI. PRAYER FOR RELIEF

WHEREFORE, PLAINTIFF RESPECTFULLY PRAYS THE COURT for the following relief:

- a. That the Court enter a Temporary Restraining Order prohibiting defendants and their agents, commercial mail receiving services, employees, successors, representatives and assigns, and all other parties acting in concert with them with knowledge of said Order, from conveying any solicitations to companies or entities that are incorporated in North Carolina, licensed to do business in North Carolina, or otherwise conducting business in North Carolina, and, pending further orders of the Court, from processing, forwarding, cashing, endorsing, assigning, negotiating or otherwise utilizing any payments, checks, bank drafts, bank account debit authorizations or other responses received from such companies, entities or businesses, pursuant to N.C. Gen. Stat. § 75-14 and the Court's inherent equitable powers;
- b. That a hearing be conducted within ten days to determine whether the terms and

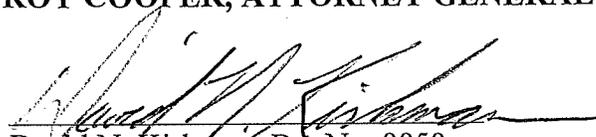
conditions of the aforesaid Temporary Restraining Order, or reasonable modifications thereof, should not be continued in the form of a Preliminary Injunction, pursuant to N.C. Gen. Stat. § 75-14 and Rule 65 of the North Carolina Rules of Civil Procedure;

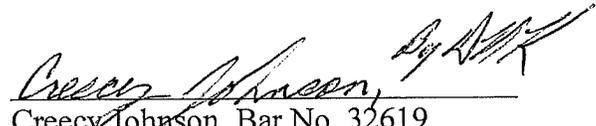
- c. That, upon final resolution or adjudication of this cause, the aforesaid terms and conditions be continued in force and effect as a Permanent Injunction, pursuant to N.C. Gen. Stat. § 75-14 and the Court's inherent equitable powers;
- d. That defendants be required to pay civil penalties to the State of North Carolina in the amount of \$5,000 for each violation of the Unfair and Deceptive Trade Practices Act, pursuant to N.C. Gen. Stat. § 75-15.2, or in the amount of \$5,000 for each week its practices persisted, pursuant to N.C. Gen. Stat. § 75-8, whichever results in the higher penalty;
- e. That defendants be ordered to make restitution to parties from whom they obtained payment as a consequence of their violations of N.C. Gen. Stat. § 75-1.1, *et seq.*;
- f. That defendants be ordered to reimburse Plaintiff for its legal expenses, pursuant to N.C. Gen. Stat. § 75-16.1;
- g. That the costs of this action be taxed to defendants; and
- h. That Plaintiff receive such other and further relief as to the Court seems just and appropriate.

This the 28th day of August, 2013.

STATE OF NORTH CAROLINA,
***ex rel.* ROY COOPER, ATTORNEY GENERAL**

By:


David N. Kirkman, Bar No. 8858
Special Deputy Attorney General
Consumer Protection Division
N.C. Department of Justice
P.O. Box 629
Raleigh, NC 27602-0629
Tel. No. 919-716-6033
Fax No. 919-716-6050
dkirkman@ncdoj.gov


Creecy Johnson, Bar No. 32619
Assistant Attorney General
Consumer Protection Division
N.C. Department of Justice
P.O. Box 629
Raleigh, NC 27602-0629
Tel. No. 919-716-6032
Fax No. 919-716-6050
ccjohnson@ncdoj.gov

PLAINTIFF'S EXHIBIT 1

Troubleshooting

Document List

New Search

CS&CLCO 2500 (01/13)

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
PROFIT CORPORATION INFORMATION UPDATE



2013

Due May 15, 2013

File Online at www.michigan.gov/fileonline

Identification Number 11064A		Corporation name THE MANDATORY POSTER AGENCY, INC.	
Resident agent name and mailing address of the registered office THOMAS FATA 5859 W SAGINAW HWY #343 LANSING MI 48917			For Bureau use only Fee Received <input type="checkbox"/> \$25 before May 16 <input type="checkbox"/> \$35 (May 16 - 31) <input type="checkbox"/> \$45 (June 1 - 30) <input type="checkbox"/> \$55 (July 1 - 31) <input type="checkbox"/> \$65 (Aug 1 - 31) <input type="checkbox"/> \$75 after August 31
The address of the registered office 5859 W SAGINAW HWY #343 LANSING MI 48917			RECEIVED MAY 06 2013 DLEG \$25.00 CORPORATION DIVISION FILED JUN 04 2013
<input checked="" type="checkbox"/> To certify there are no changes from your previous filing check this box and proceed to item 6. If the resident agent and/or registered office has changed complete items 1-5. If only officer and director information has changed complete items 4-6.			
1. Mailing address of registered office in Michigan (may be a P.O. Box)		2. Resident Agent	
3. The address of the registered office in Michigan (a P.O. Box may not be designated as the address of the registered office)			
4. Describe the general nature and kind of business in which the corporation is engaged:			
5.			
	NAME	BUSINESS OR RESIDENCE ADDRESS	
If different than President	President (Required)		
	Secretary (Required)		
	Treasurer (Required)		
	Vice - President		
If different than Officers	Director		
	Director		
	Director		
6. Signature of authorized officer or agent	Title President	Date 5-3-13	Phone (Optional)

Filing fee \$25

Report due May 15, 2013.

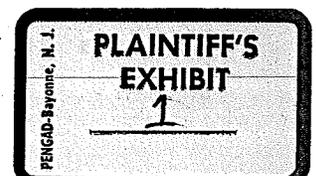
If received after May 15, penalty fees will be assessed.

Please make your check or money order payable to the State of Michigan
Include payment with completed report in the same envelope.

Return to: Department of Licensing and Regulatory Affairs
Corporations, Securities, & Commercial Licensing Bureau
Corporation Division
P.O. Box 30481
Lansing, MI 48909
(517) 241-6470

OR File online at www.michigan.gov/fileonline

If more space is needed additional pages may be included. Do not skip any items to report. This report is required by Section 911, Act 234, Public Acts of 1972, as amended. Failure to file this report may result in the dissolution of the corporation. Late filing will result in penalty fees.



Troubleshooting

Document List

New Search

202500-543 (Rev. 04/11)

MICHIGAN DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
BUREAU OF COMMERCIAL SERVICES

Received **AUG 10 2012**

(FOR BUREAU USE ONLY)

This document is effective on the date filed, unless a subsequent effective date within 90 days after received date is stated in the document.

Name: David R. Brake, Esquire

Address: 7521 Westshire Drive, Suite 100

City: Lansing, Michigan 48917

State: Michigan Zip Code: 48917

Trans Info: 1 17873867-1 08/08/12
Chk#: 13793 Amt: \$10.00
ID: 141064A

EXPIRATION DATE: DECEMBER 31, 2017

FILED

AUG 10 2012

Administrator
Bureau of Commercial Services

Document will be returned to the name and address you enter above.
If left blank document will be mailed to the registered office.

CERTIFICATE OF ASSUMED NAME

For use by Corporations, Limited Partnerships and Limited Liability Companies

(Please read information and instructions on reverse side)

Pursuant to the provisions of Act 284, Public Acts of 1972 (profit corporations), Act 162, Public Acts of 1982 (nonprofit corporations), Act 213, Public Acts of 1982 (limited partnerships), or Act 23, Public Acts of 1993 (limited liability companies), the corporation, limited partnership, or limited liability company in item one executes the following Certificate:

- The name of the corporation, limited partnership, or limited liability company is:
The Mandatory Poster Agency, Inc.
- The identification number assigned by the Bureau is:
11064A
- The assumed name under which business is to be transacted is:
Corporate Records Services
- This document is hereby signed as required by the Act.

COMPLETE ITEM 5 ON LAST PAGE IF THIS NAME IS ASSUMED BY MORE THAN ONE ENTITY.

Signed this 3 day of August, 2012

By Steven Fata
(Signature)
Steven Fata, Vice President
(Type or Print Name) (Type or Print Title or Capacity)

(Limited Partnership Only - Indicate Name of General Partner if the General Partner is a corporation or other entity)

KW

PLAINTIFF'S EXHIBIT 2

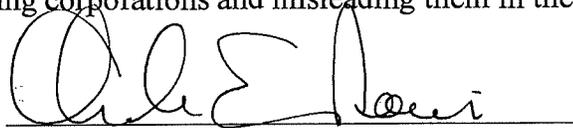
NORTH CAROLINA

ALAMANCE COUNTY

AFFIDAVIT OF CHARLES E. DAVIS

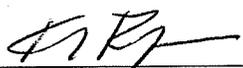
Charles E. Davis, being first duly sworn, deposes and says as follows:

1. My name is Charles E. Davis and I am a resident of Alamance County, North Carolina.
2. I have been licensed to practice law in North Carolina since 1976 and am a partner in the law firm of Davis, Humbert & Jennings, P.A. in Mebane, North Carolina. I am a former member of the State Bar Council and have been elected by the 15-A Judicial District Bar to serve on the State Bar Council once again.
3. On August 7, 2013, I received the attached mailing from Corporate Records Service. I then called the telephone number listed in the mailing, 888-408-0886, and spoke with a lady who identified herself as Kelly. She indicated that I had reached Corporate Records Service.
4. I asked Kelly if I might speak with the attorney who would be preparing my corporate minutes. She responded that I must have the wrong office, and that this was merely a business and not a legal office. I thanked her for her time.
5. Later on August 7, I received a call from one of my corporate clients who said he had received the same printed solicitation. He asked me whether he needed to pay the \$125.00 fee and whether it was legitimate. Another client also sent me the same Corporate Records Service mailing and expressed the same concern. I told him, of course, no.
6. It is clear to me that whoever is running this company is practicing law without a license and trying to solicit business from unsuspecting corporations and misleading them in the process.

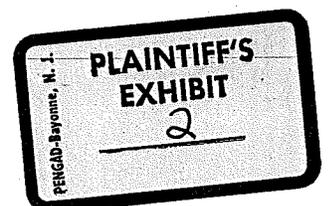
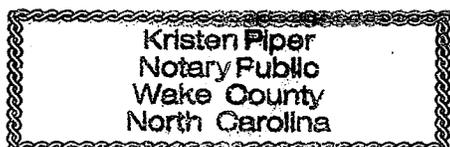


Charles E. Davis

Duly sworn and subscribed before me
This the 26th day of August, 2013.



NOTARY PUBLIC (Seal)
My commission expires: 5-26-14



INSTRUCTIONS FOR COMPLETING THE ANNUAL CORPORATE RECORDS FORM

(North Carolina Corporations)

Kelly

Suz

*Carl Keith
Pete M...
B...*

Review the accuracy of the preprinted corporate name and address and make any changes necessary.
PLEASE PRINT CLEARLY.

- Step 1 Enter the name of each stockholder. You must account for 100% of the outstanding shares.
- Step 2 Enter the name of all members of the Board of Directors. Members of the Board of Directors must be at least 18 years of age.
- Step 3 Enter the title of an officer and the name of the officer. You must have at least one officer. Typical officers are Chief Executive Officer (CEO), President, Vice President, Secretary, Assistant Secretary, Chief Financial Officer Treasurer, Chief Operations Officer (COO). In addition, list any other corporate officers.
- Step 4 Enter the name and email address of the person to contact if we have any questions.
- Step 5 Provide a valid payment method.
- Step 6 Sign the form to verify the validity of information provided and authorize your payment.
- Step 7 Return the entire completed form with payment.

Submit the Annual Corporate Records Form together with the payment for preparation of documents to satisfy the annual records requirement for your corporation. **Submit payment for \$125.00 payable to Corporate Records Service and mail to:**

CORPORATE RECORDS SERVICE
3434 Edwards Mill Rd Ste. 112 #325
Raleigh, NC 27612-4276
(888) 408-0886

Completed documents will be mailed to you within four weeks. Have each party sign the documents where indicated and keep them as permanent records.

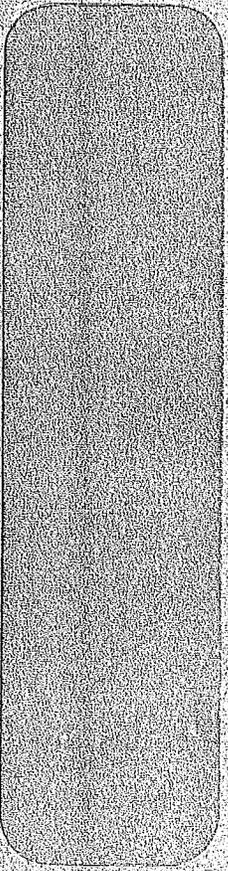
Maintaining records is important to the existence of all corporations. In particular the recording of shareholders and director meetings. You can engage an attorney to prepare them, prepare them yourself, use some other service company or use our service.

Please note: The preparation of annual corporate records does not satisfy the requirement to file the annual report required by North Carolina Business Corporation Act §55-16-22. The annual report and instructions may be found online.

Corporate Records Service
3434 Edwards Mill Rd Ste. 112 #325
Raleigh, NC 27612-4276

THIS IS NOT A GOVERNMENT DOCUMENT

IMPORTANT
Annual Records Requirement Statement
BUSINESS MAIL - TIME SENSITIVE



If addressee name is incorrect, please forward this document
to an authorized employee representative immediately



PRSR1 STD
U.S. POSTAGE
PAID
LEFS

UNITED STATES MAIL

NOE



Place stamp here
Post Office will
not deliver mail
without postage



CORPORATE RECORDS SERVICE
3434 EDWARDS MILL RD STE. 112 #325
RALEIGH, NC 27612-4276

NCCRE

PLAINTIFF'S EXHIBIT 3

STATE OF NORTH CAROLINA

COUNTY OF WAKE

AFFIDAVIT OF LINDA MATTHEWS

I, Linda Matthews, being first sworn, do hereby depose and say:

1. I am employed by the Office of the North Carolina Attorney General, Consumer Protection Division. My official title is Investigator.

2. My duties in the Consumer Protection Division include maintaining records of all written consumer complaints filed with the Attorney General concerning deceptive invoices. I conduct investigations of such complaints, providing litigation support in enforcement actions brought by the Attorney General.

3. Around the first week of August, 2013, I started receiving complaints, both written and by telephone, about Corporate Records Service. As of August 26, the Consumer Protection Division has received 16 written complaints. Each consumer received a mailing containing a form which mimics an official North Carolina state document, asking for \$125.00 to prepare corporate records. The heading on the form is "2013 – ANNUAL CORPORATE RECORDS FORM." A true and accurate copy of the form is attached and marked Exhibit 1.

4. On Friday, August 23, 2013, I went to the UPS Store located at 3434 Edwards Mill Road, Raleigh, NC and presented the Owner/Operator, Jessica Woods, an Investigative Demand requiring her to produce all documents pertaining to Corporate Records Service. She made me copies of all the documents. True and accurate copies of these documents are attached to this affidavit, with sensitive personal information redacted, and marked Exhibit 2.

5. While I was in the UPS store, Ms. Woods showed me a stack of envelopes she was in the process of sending to the owner of Corporate Records Service, Steve Fata. I asked her how many envelopes she was holding; she counted 38. She told me she was getting stacks of envelopes each day for Corporate Records Service.

6. On August 27, 2013 I did a computer search for warnings about Corporate Records Services that had been issued by government officials. I found the following items at the web addresses indicated: Exhibit 3, 'Alert regarding "Corporate Records Service" Solicitation,' www.sos.state.tx.us.com ; Exhibit 4, 'Notice regarding "Corporate Record

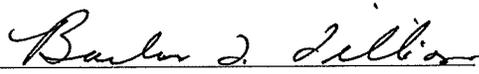


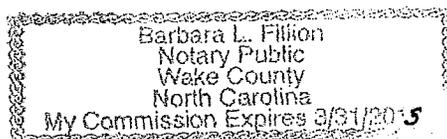
Service” and “Compliance Services” Solicitation,’ www.sec.state.ma.us.com; Exhibit 5, ‘Important Consumer Alert Corporate Compliance Company and Corporate Records Service Scam,’ www.portal.state.pa.us.com; Exhibit 6, ‘Beware of Business Scam Involving Corporate Records,’ www.tnsos.org; Exhibit 7, ‘Corporation Commission Warns Business Owners of False Solicitation,’ www.azcc.gov; Exhibit 8, ‘Alert Regarding Solicitations From Corporate Records Service,’ www.delaware.gov, Exhibit 9, ‘Scam Alert for Businesses,’ www.in.gov, Exhibit 10, ‘Jesse White Warns Businesses to Beware of Statewide Corporate Scam,’ www.cyberdriveillinois.com, Exhibit 11, ‘Alert To Virginia Corporations Regarding Solicitation From Corporate Records Service,’ www.scc.virginia.gov, Exhibit 12, ‘Scam Targets Corporations; LARA alerts Michigan businesses of scheme collecting \$125 fee to prepare annual minutes,’ www.michigan.gov. Exhibits 3 through 12 identify only a small sample of the many warnings found in my computer search.


Linda Matthews

Sworn to and subscribed before me

this the 28th day of August, 2013


(Notary Public)



My commission expires: 3/31/2015

United States Postal Service®
Application for Delivery of Mail Through Agent
See Privacy Act Statement on Reverse

1. Date

In consideration of delivery of my or our (firm) mail to the agent named below, the addressee and agent agree: (1) the addressee or the agent must not file a change of address order with the Postal Service™ upon termination of the agency relationship; (2) the transfer of mail to another address is the responsibility of the addressee and the agent; (3) all mail delivered to the agency under this authorization must be prepaid with new postage when redeposited in the mails; (4) upon request the agent must provide to the Postal Service all addresses to which the agency transfers mail; and (5) when any information required on this form changes or becomes obsolete, the addressee(s) must file a revised application with the Commercial Mail Receiving Agency (CMRA).

NOTE: The applicant must execute this form in duplicate in the presence of the agent, his or her authorized employee, or a notary public. The agent provides the original completed signed PS Form 1583 to the Postal Service and retains a duplicate completed signed copy at the CMRA business location. The CMRA copy of PS Form PS 1583 must at all times be available for examination by the postmaster (or designee) and the Postal Inspection Service. The addressee and the agent agree to comply with all applicable Postal Service rules and regulations relative to delivery of mail through an agent. Failure to comply will subject the agency to withholding of mail from delivery until corrective action is taken.

This application may be subject to verification procedures by the Postal Service to confirm that the applicant resides or conducts business at the home or business address listed in boxes 7 or 10, and that the identification listed in box 8 is valid.

2. Name in Which Applicant's Mail Will Be Received for Delivery to Agent.
(Complete a separate PS Form 1583 for EACH applicant. Spouses may complete and sign one PS Form 1583. Two items of valid identification apply to each spouse. Include dissimilar information for either spouse in appropriate box.)

Corporate Records Service

3a. Address to be Used for Delivery (Include PMB or # sign.)

3434 Edwards Mill Rd. Ste. 112 #325

3b. City Raleigh

3c. State 3d. ZIP + 4®
NC 27612-42

5. This authorization is extended to include restricted delivery mail for the undersigned(s):

4. Applicant authorizes delivery to and in care of:

a. Name The UPS Store

b. Address (No., street, apt./ste. no.) 3434 Edwards Mill Rd. Ste. 112

c. City Raleigh d. State NC e. ZIP + 4 #325
27612-4276

6. Name of Applicant Steve Fata

8. Two types of identification are required. One must contain a photograph of the addressee(s). Social Security cards, credit cards, and birth certificates are unacceptable as identification. The agent must write in identifying information. Subject to verification.

a. License:



b. Passport:



7a. Applicant Home Address (No., street, apt./ste. no.)

1703 Sunnydale

7b. City Lansing 7c. State MI 7d. ZIP + 4 48917-1441

7e. Applicant Telephone Number (Include area code)

1-888-408-0886

9. Name of Firm or Corporation

Corporate Records Service

10a. Business Address (No., street, apt./ste. no.)

10b. City

10c. State 10d. ZIP + 4

10e. Business Telephone Number (Include area code)

1-888-408-0886

11. Type of Business

Document Preparation

Acceptable identification includes: valid driver's license or state non-driver's identification card; armed forces, government, university, or recognized corporate identification card; passport, alien registration card or certificate of naturalization; current lease, mortgage or Deed of Trust; voter or vehicle registration card; or a home or vehicle insurance policy. A photocopy of your identification may be retained by agent for verification.

12. If applicant is a firm, name each member whose mail is to be delivered. (All names listed must have verifiable identification. A guardian must list the names of minors receiving mail at their delivery address.)

13. If a CORPORATION, Give Names and Addresses of Its Officers

Steve Fata, Joe Fata,
Tom Fata

14. If business name (corporation or trade name) has been registered, give name of county and state, and date of registration.

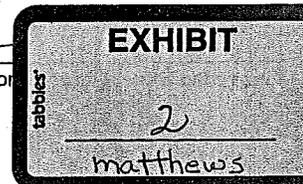
Mandatory Poster Agency
Eaton County 8/99

Warning: The furnishing of false or misleading information on this form or omission of material information may result in criminal sanctions (including fines and imprisonment) and/or civil sanctions (including multiple damages and civil penalties).

15. Signature of Agent/Notary Public

Amber N. Blais

AMBER N. BLAIS
NOTARY PUBLIC - STATE OF MICHIGAN
COUNTY OF EATON
by Officer, Show title.)
My Commission Expires March 9, 2019
in the County of Eaton



Privacy Act Statement: Your information will be used to authorize the delivery of your mail to the designated address as your agent. Collection is authorized by 39 USC 401, 403, and 404. Providing the information is voluntary, but if not provided, we cannot provide this service to you. We do not disclose your information without your consent to third parties, except for the following limited circumstances: to a congressional office on your behalf; to financial entities regarding financial transaction issues; to a USPS® auditor; to entities, including law enforcement, as required by law or in legal proceedings; to contractors and other entities aiding us to fulfill the service; and for the purpose of identifying an address as an address of an agent who receives mail on behalf of other persons. Information concerning an individual who has filed an appropriate protective court order with the postmaster will not be disclosed except pursuant to court order. For more information on our privacy policies, see our privacy link on usps.com.



OPERATOR LICENSE

STEVEN JOHN FATA

LANSING, MI 48917-1447

Date of birth	Sex	Height	Eyes	Lic Type	Endorsements
12-27-1962	M	511	BRO	O	NONE

Restrictions: NONE

See back for medical information, anatomical gift

Steven Fata

Mailbox Service Agreement

The UPS Store

Center Number: 5848

Customer Information

Name: <u>Steve Fata</u>		
Company: <u>North Carolina Labor Law Poster Service</u>		
Address: <u>5859 W. Saginaw Hwy #343</u>		
City: <u>Lansing</u>	State: <u>Mi</u>	ZIP: <u>48917</u>
Business Telephone: <u>1-877-321-4144</u>	Home Telephone:	
Fax: <u>1-888-442-4144</u>	Mobile Telephone:	
E-mail Address: <u></u>		

Mailbox Information

Mailbox Number:	Mailbox Size: <u>Med.</u>
-----------------	---------------------------

Terms and Conditions

1. This Mailbox Service Agreement ("Agreement") is made and entered into by the customer identified above ("Customer") for the use of and services related to a mailbox ("Mailbox") at The UPS Store® Center identified above ("Center") under the terms set forth herein.
2. Customer agrees that Customer will not use the Center premises or any Center services for any unlawful, illegitimate, or fraudulent purpose, or for any purpose prohibited by U.S. postal regulations. Customer further agrees that any use of the Mailbox shall be in conformity with all applicable federal, state, and local laws. Each individual or entity must complete a separate United States Postal Service Form 1583 ("Form 1583") to be authorized to receive mail or packages at the Mailbox. However, spouses may complete one Form 1583, as long as both spouses include their separate information on the Form.
3. This Agreement and Form 1583 shall remain confidential, except that this Agreement and Form 1583 may be disclosed upon written request of any law enforcement or other governmental agency, or when legally mandated. Upon request, Customer agrees to complete all necessary documents, including Form 1583 and any required acknowledgment form relating to service of process. Customer further agrees to sign an updated version of this Agreement and Form 1583 upon request.
4. Possession of the Mailbox key shall be considered valid evidence that the possessor is duly authorized to remove any contents from the Mailbox. In the event of death or incapacity of Customer, the Center will require the appropriate documents from the Probate Court, the executor of the estate, the trustee or other similar person or entity before releasing mail or packages to a requesting party.
5. Customer agrees to pay an initial set-up fee of \$10 and/or a refundable security/key deposit of \$0.00, as well as applicable monthly service fees. The security/key deposit is refundable upon expiration, cancellation, or termination of this Agreement, provided that Customer returns the key, key card, and/or other similar device, and pays all sums owed to the Center. Mailbox service fees are all due and payable in advance and Customer agrees that the Center may hold mail and packages pending payment. There will be no proration or refunds for cancellation of any service. Customer agrees to pay a late fee of \$10.00 if any payment is not received within five (5) days of when due. In the event the Mailbox lock is changed upon the request or fault of Customer, Customer agrees to pay a fee of _____. Mailbox service fees and other related fees stated herein are subject to change. In the event that Customer receives an unreasonable volume of mail or packages at the Mailbox according to the Center's reasonable judgment, the Center may require Customer to upgrade to a larger size mailbox and pay any additional charge. The Center reserves the right to increase the Mailbox service fees in the event that Customer adds additional individuals or entities to the names of those individuals or entities authorized to receive mail and packages at the Mailbox pursuant to Form 1583.
6. Upon expiration, cancellation, or termination of this Agreement, the Center will:
 - a. Re-mail (i.e., forward) Customer's mail for six (6) months, provided Customer pays the postage, packaging material, and forwarding fees in advance. Additionally, Customer must pay a monthly storage fee of ____ for month 1, and ____ for months 2 through 6 in advance for the time period that mail is to be forwarded. It is Customer's responsibility to make arrangements with the Center to identify any mail forwarding needs prior to the expiration, cancellation, or termination of this Agreement.
 - b. Store the mail or packages for up to six (6) months provided Customer pays a storage fee of ____ per month for the time period in which the Center holds the mail or package(s), plus a service fee of ____ for each time Customer visits the Center to pick up such items. It is the Customer's responsibility to make arrangements with the Center to identify any mail storage needs prior to the expiration, cancellation, or termination of this Agreement.
 - c. Retain Customer's mail, other than Unclaimed Mail, at the Center for a period of thirty (30) days, if the Customer leaves no forwarding fees and forwarding address. After such time, any mail or package may be discarded or destroyed.

The UPS Store® Centers are independently owned and operated by licensed Franchisees of Mail Boxes Etc., Inc., an indirect subsidiary of United Parcel Service, Inc., a Delaware corporation. Services, prices, and hours of operation are subject to change and may vary by location. © 2005 Mail Boxes Etc., Inc. All rights reserved. Last updated 04/27/06

Page 1

United States Postal Service®
Application for Delivery of Mail Through Agent
 See Privacy Act Statement on Reverse

1. Date 12/4/09

In consideration of delivery of my or our (firm) mail to the agent named below, the addressee and agent agree: (1) the addressee or the agent must not file a change of address order with the Postal Service™ upon termination of the agency relationship; (2) the transfer of mail to another address is the responsibility of the addressee and the agent; (3) all mail delivered to the agency under this authorization must be prepaid with new postage when redeposited in the mails; (4) upon request, the agent must provide to the Postal Service all addresses to which the agency transfers mail; and (5) when any information required on this form changes or becomes obsolete, the addressee(s) must file a revised application with the Commercial Mail Receiving Agency (CMRA).

NOTE: The applicant must execute this form in duplicate in the presence of the agent, his or her authorized employee, or a notary public. The agent provides the original completed signed PS Form 1583 to the Postal Service and retains a duplicate completed signed copy at the CMRA business location. The CMRA copy of PS Form 1583 must at all times be available for examination by the postmaster (or designee) and the Postal Inspection Service. The addressee and the agent agree to comply with all applicable Postal Service rules and regulations relative to delivery of mail through an agent. Failure to comply will subject the agency to withholding of mail from delivery until corrective action is taken.

This application may be subject to verification procedures by the Postal Service to confirm that the applicant resides or conducts business at the home or business address listed in boxes 7 or 10, and that the identification listed in box 8 is valid.

2. Name in Which Applicant's Mail Will Be Received for Delivery to Agent. (Complete a separate PS Form 1583 for EACH applicant. Spouses may complete and sign one PS Form 1583. Two forms of valid identification apply to each spouse. Include disclaimer information for either spouse in appropriate box.) <u>North Carolina Labor Law Poster Service</u>		2a. Address to be Used for Delivery (Include PMB or # sign.) # <u>3434 EDWARDS MILL RD Ste. #112</u>	
3b. City <u>RALEIGH</u>		3c. State <u>NC</u>	3d. ZIP + 4® <u>27612</u>

4. Applicant authorizes delivery to and in care of:

a. Name
The UPS Store 85848

b. Address (No., street, apt./ste. no.)
3434 EDWARDS MILL RD Ste. #112

c. City
RALEIGH

d. State
NC

e. ZIP + 4
27612

5. This authorization is extended to include restricted delivery mail for the undersigned(s):

7a. Applicant Home Address (No., street, apt./ste. no.)
5859 W. Saginaw Hwy #343

7b. City
Lansing

7c. State
MI

7d. ZIP + 4
48917

8. Name of Applicant
Steve Fata

9. Two types of identification are required. One must contain a photograph of the addressee(s). Social Security cards, credit cards, and birth certificates are unacceptable as identification. The agent must write in identifying information. Subject to verification.

7e. Applicant Telephone Number (include area code)
1-877-321-4144

8. Name of Firm or Corporation
ALC Labor Law Poster Service

10a. Business Address (No., street, apt./ste. no.)
6323 W. Saginaw Hwy Ste. E

10b. City
Lansing

10c. State
MI

10d. ZIP + 4
48917

10. F B O O 777 429 985

11. Sams Club Mem. Card
101 34100 310354501

10e. Business Telephone Number (include area code)
1-877-321-4144

11. Type of Business
Labor Law Requirements

Acceptable identification includes: valid driver's license or state non-driver's identification card; armed forces, government, university, or recognized corporate identification card; passport, alien registration card or certificate of naturalization; current lease, mortgage or Deed of Trust; voter or vehicle registration card; or a home or vehicle insurance policy. A photocopy of your identification may be retained by agent for verification.

12. If applicant is a firm, name each member whose mail is to be delivered. (All names listed must have verifiable transactions. A guardian must list the names of minors receiving mail at their delivery address.)

13. If a CORPORATION, Give Names and Addresses of its Officers
Steve Fata, Joe Fata + Tom Fata

14. If business name (corporation or trade name) has been registered, give name of county and state, and date of registration.
Mandatory Poster Agency Eaton County 8/99

13. If a CORPORATION, Give Names and Addresses of its Officers
Steve Fata, Joe Fata + Tom Fata

14. If business name (corporation or trade name) has been registered, give name of county and state, and date of registration.
Mandatory Poster Agency Eaton County 8/99

Warning: The furnishing of false or misleading information on this form or omission of material information may result in criminal sanctions (including fines and imprisonment) and/or civil sanctions (including multiple damages and civil penalties).

15. Signature of Agent/Notary Public
Amber Blair

16. Signature of Applicant (If firm or corporation, application must be signed by officer, stockholder, etc.)
[Signature]

TEXAS SECRETARY of STATE
JOHN STEEN

Notice: Photo ID is now required to vote in person. To learn more about what it means to you please visit our [press release](#) and the photo identification section of VoteTexas.gov.

Note - Navigational menus along with other non-content related elements have been removed for your convenience. Thank you for visiting us online.

Alert regarding "Corporate Records Service" Solicitation

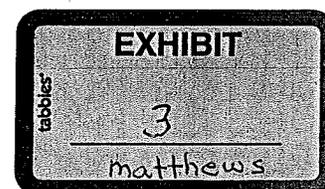
Recently, an entity calling itself "Corporate Records Service" with an address in Austin, Texas, mailed solicitations entitled "Annual Minutes Records Form" to numerous Texas business entities. This solicitation suggests that a Texas business entity is *required* to complete the statement and return it with a fee of \$125.

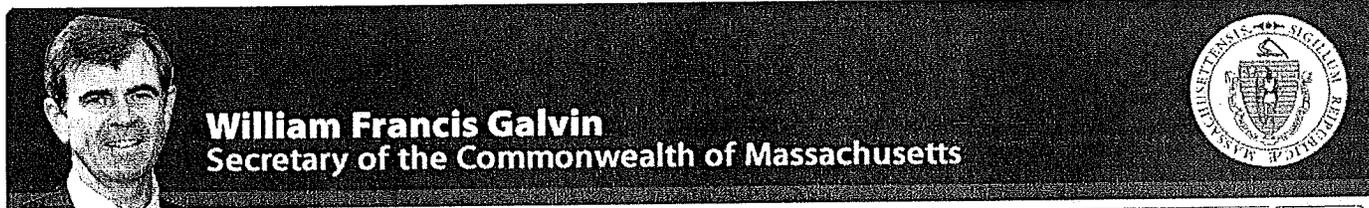
Although the solicitation contains a disclaimer stating that Corporate Records Service is not affiliated with a government agency, many customers may misinterpret the official-looking documents. Despite the implications contained in the solicitation, Texas business entities are *not* required by law to file the statement with Corporate Records Service. Based on inquiries this office has received, it appears that confusion has resulted from Corporate Records Service's solicitation and the suggestion that the statement is necessary to avoid non-compliance with Texas law.

You do not have to do business with Corporate Records Service. The forms provided by Corporate Records Service are not required by the Texas Secretary of State. Whether you choose to do business with Corporate Records Service will in no way affect your status with the Secretary of State.

It is important to remember that any official statement or request from the Texas Secretary of State will clearly indicate its origin by displaying the State Seal and the name of Secretary of State John Steen.

If a Texas business received a solicitation and sent money in response to that solicitation or receives any similar solicitation in the future, a complaint may be filed with the Attorney General.



[HOME](#)[DIRECTIONS](#)[CONTACT US](#)[Search sec.state.ma.us](#)

Notice regarding "Corporate Record Service" and "Compliance Services" Solicitation

Recently, entities titled "Corporate Record Service" and "Compliance Services" mailed solicitations entitled "Annual Minutes Requirement Statement Directors and Shareholders" to numerous Massachusetts corporations. These solicitations offer to complete corporate meeting minutes on behalf of the corporation for a fee. Despite the implications contained in the solicitation, Massachusetts corporations are not required by law to file corporate minutes with the Secretary of State.

Based upon phone calls this office has received, it is apparent that many citizens are confused by the solicitations.

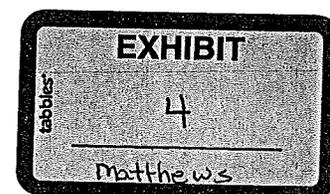
First, the solicitation is presented in a format similar to forms promulgated and distributed by the Office of the Secretary of State and reference a \$125 "Annual Fee", the exact amount of the fee for filing an annual report with the Office. Second, the solicitations include a Massachusetts corporation number. Third, the solicitations contain a limited response time. Although the solicitations contain a disclaimer stating that Corporate Record Service and Compliance Services are not affiliated with any government agency, many customers may misinterpret the official-looking documents.

You do not have to do business with Corporate Record Service or Compliance Services. The forms provided by them are not required by the Office of the Secretary of State. Whether you choose to do business with them will in no way affect your corporate filing with the Secretary of State, either positively or negatively.

It is important to remember that any official statement or request from the Office of the Secretary of State will clearly indicate its origin by displaying the name of Secretary of State William Francis Galvin.

Please notify Laurie Flynn by either fax 617-878-3505 or email addressed to laurie.flynn@sec.state.ma.us regarding receipt of this solicitation so the Secretary of State may monitor the matter and refer to the Attorney General's Office if appropriate. Thank you.

William Francis Galvin, Secretary of the Commonwealth of Massachusetts
Terms and Conditions



PA STATE AGENCIES

ONLINE SERVICES

--search PA--

Tom Corbett, Governor

Voter ID Law

[Corporations](#) > Scams

Department of State

Important Consumer Alert

Licensing

Corporate Compliance Company and Corporate Records Service Scam

Voting and Elections

Notaries

April 18, 2013

Certifications, Apostilles,
and the Authentication of
Documents

Corporations

The Pennsylvania Department of State has been made aware of solicitations that are being mailed to Pennsylvania businesses from two companies: **Pennsylvania Corporate Compliance Company** and **Corporate Records Service**. These solicitations are titled "[Annual Meeting Disclosure Statement](#)," "[2013 - Annual Minutes Form](#)," and "[2013 Annual Corporate Records Form](#)." These mailings urge business corporations and limited liability companies to file information and send payment in the amount of \$125.00 by a certain date in order to maintain proper records.

Corps Menu Page

Scams

General Information

These solicitations include a citation to the Pennsylvania Business Corporation Law regarding corporate records. At first glance, these solicitations may look official; however, **none** of the information being requested is required to be filed with the Department of State or the Secretary of the Commonwealth. **Pennsylvania corporations and limited liability companies are not required to file corporate minutes with the Department of State or with a private company such as Pennsylvania Corporate Compliance Company or Corporate Records Service**. The Department is alerting all Pennsylvania business entities of this deceptive solicitation to prevent Pennsylvania businesses from completing the form and sending payment to a mailing center post office box address by the deadline on the form.

Secured Transactions

New UCC Forms

Information Services

Forms

Filing Guidelines

Pennsylvania Business
Corporations

Despite the suggestive language on the mailing, the "Annual Meeting Disclosure Statement," the "2013 - Annual Minutes Form" and the "2013 Annual Corporate Records Form" are not required to be completed. **These formal-looking letters did not originate from any governmental office, nor are the Pennsylvania Corporate Compliance Company and Corporate Records Service affiliated or associated with Pennsylvania state government in any way. Similar scams have been reported in other states. These "Annual Meeting Disclosure Statement," "2013 - Annual Minutes Form" and "2013 Annual Corporate Records Form" documents should be disregarded by all companies that receive them.**

Fees & Payment

Name Availabilities

Resources

Frequently Asked
Questions and Answers

Additionally, please keep in mind that any official notices sent to businesses by the Pennsylvania Department of State or the Secretary of the Commonwealth's office will contain the letterhead and/or contact information for the Bureau of Corporations and Charitable Organizations. Please contact the Bureau at (717) 787-1057 or ra-corps@pa.gov should you have any questions or concerns regarding these solicitations.

Site Tools

State Athletic
Commission

Charities

Modified Date: 08/13/2013 01:35 PM

Log In

Privacy Policy | Security Policy

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Beware of Business Scam Involving Corporate Records

(Published: June 19, 2013)

Secretary of State Tre Hargett is warning businesses throughout Tennessee to be vigilant about scammers who request fees that are not required by state government.

The Secretary of State's office has received inquiries about official-looking notices sent from Corporate Records Service. These notices were reported arriving in mailboxes around Tuesday. Corporate Records Service is not registered, affiliated, or associated with the Tennessee Secretary of State.

The mailers are causing confusion for Tennessee corporations due to their resemblance to official documents. Tennessee corporations are required to file annual reports with the Secretary of State's office. Many corporations that have not filed their annual reports that were due on April 1 have also recently received notices of determination from the Secretary of State.

Corporate Records Services is requesting a \$125 fee. The state's standard fee to file a corporation annual report in Tennessee is only \$20.

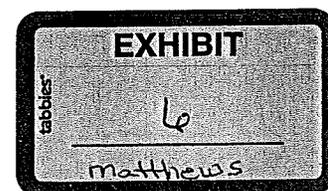
"We can confirm that Corporate Records Service is not a business entity on file with our office," Secretary Hargett said. "I strongly advise corporations to exercise caution before providing their private and confidential information, including credit card information, to this or any company that is representing itself in this manner."

The Secretary of State's office maintains a user-friendly online annual report filing system for businesses. Details can be found online at <http://tnbear.tn.gov/Ecommerce/FilingSearch.aspx>. Customers may also call the Business Services Division at 615-741-2286 for more information.

Media contact: Blake Fontenay, Communications Director, (615) 253-2668 or blake.fontenay@tn.gov

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ARIZONA CORPORATION COMMISSION

FOR IMMEDIATE RELEASE: February 4, 2013

CONTACT: Rebecca Wilder (602) 542-0844

Corporation Commission Warns Business Owners of False Solicitation

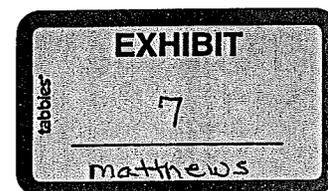
"Corporate Records Service" Not Affiliated with Arizona Corporation Commission

PHOENIX—The Arizona Corporation Commission is warning Arizona business owners that an individual or group calling themselves "Corporate Records Service" is sending out official-looking documents advertising a service of preparing filings for corporations. This solicitation, requesting payment of a \$125 fee, is not a Corporation Commission document and this organization is not in any way affiliated with the Arizona Corporation Commission. The Corporation Commission does not require business owners and their representatives to file with "Corporate Records Service," nor does it require the \$125 fee referred to in the document.

The documents look very similar to Corporation Commission filing documents and the private firm making the solicitation intentionally creates confusion by using language that is similar to that in Corporation Commission documents. The forms carry an official-looking time deadline for filing and include the Arizona Corporation Commission file number assigned to the business. Further, a search of the "Corporate Records Service" return address, places it as a mailbox at a UPS Store in the Ahwatukee Foothills Towne Center.

If you have received this document, please contact the Arizona Attorney General's Office in Phoenix at (602) 542-5763, in Tucson at (520) 628-6504, or outside the Phoenix and Tucson metro areas at 1-(800)-352-8431. To file a complaint online, please visit the Attorney General's web site at www.azag.gov. To file a complaint in person, the Attorney General's Office has 37 satellite offices throughout Arizona with volunteers available to help. Locations and hours are posted on the Attorney General's web site.

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**ALERT REGARDING SOLICITATIONS FROM
CORPORATE RECORDS SERVICE**

August 5, 2013

DELAWARE SECRETARY OF STATE

The Delaware Division of Corporations recently learned of a deceptive solicitation that has been mailed to numerous Delaware legal entities from a company called Corporate Records Service. These solicitations include a form titled "2013 – Annual Corporate Records Form – Shareholders, Directors and Officers," and urges corporations to file information naming shareholders, corporate directors, and corporate officers and send payment in the amount of \$125.00 by a certain date in order to satisfy the annual records requirement for a corporation. This deceptive solicitation follows a similar deceptive solicitation mailed in February 2013 urging legal entities to file their company minutes with Corporate Record Services.

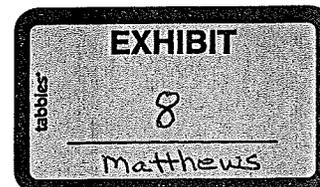
These solicitations include statutory citations regarding corporate records and annual meetings and are designed to look official. The most recent solicitation states that Corporate Records Service is not a government agency; however, the form includes a "respond by" date and the solicitations suggest the requested information is required and create the appearance that the form is an official government communication.

The form provided by Corporate Records Service is not an official annual report and is not prescribed or recognized by the Delaware Division of Corporations. Delaware corporations are required to file an annual corporation franchise tax report to the Delaware Division of Corporations that includes the name of all directors. However, Delaware corporations are NOT required to provide in their annual report filed with the Division of Corporations the names of shareholders and all officers. The annual report is due to the State on March 1st of each year. LLC's are not required to file an annual report and LLC tax payments are due to the State on June 1st of each year. Businesses and consumers should independently evaluate their need for services before paying any vendor to provide a service.

We want to alert all entities of these deceptive solicitations to prevent entities from completing the form and sending funds or forms to Corporate Records Service for a service that is not required by Delaware law. Any official notice from the Delaware Division of Corporations will contain the Delaware Coat of Arms and contact information for the Division of Corporations.

The Secretary of State's Office is working closely with the Attorney General's Office to investigate these deceptive solicitations. If a Delaware corporation received this solicitation and sent payment, please contact the Consumer Protection Unit of the Attorney General's Office at (302) 577-8600 or 1-800-220-5424.

Please contact the Delaware Division of Corporations at (302) 739-3073 if you have any questions or concerns regarding these solicitations.



[IN.gov](http://www.in.gov)

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Scam Alert for Businesses

FRAUDULENT COMPLIANCE REQUESTS TO HOOSIER BUSINESSES

Several businesses have reported receiving a deceptive letter that appears to come from an official government source. The letter solicits an annual fee of \$125 and claims it will be used for record keeping and processing of a company's annual minutes. It gives the appearance of coming from a legitimate government agency and cites state law.

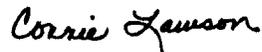
Specifically, copies of the letter that have been forwarded to my office appear to come from "Corporate Records Services." They include a return by date to give the false impression that action is necessary on your part.

This letter is NOT an official correspondence from my Business Services Division or any other Indiana state agency. If you received one of these solicitations, ignore it! If you have already responded to such a letter and believe you are a victim of this scam, please call the Business Services Division at (317) 232-6576.

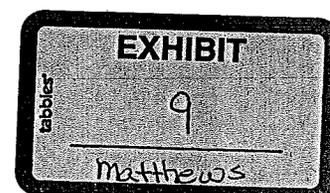
Businesses may securely file their required business entity reports online through the Secretary of State's website at <http://www.in.gov/ai/appfiles/sos-berf/>. My office sends a courtesy reminder to all Hoosier businesses advising them to file their required business entity reports. Please note, beginning in 2014 in an effort to save taxpayer dollars and use less paper, these reminders will only be sent via email.

Again, if you have any questions or concerns you may contact my office at (317) 232-6576.

Best Regards,



Connie Lawson
Indiana Secretary of State



8/27/2013

JESSE WHITE

SECRETARY OF STATE



10
www.cyberdrive
illinois.co

For Immediate Release:
October 26, 2012

For More Information Contact:
Elizabeth Kaufman

Jesse White Warns Businesses to Beware of Statewide Corporate Scam *Second Attempt to Swindle Companies This Year*

Illinois Secretary of State Jesse White is warning businesses to beware of a scam targeting Illinois corporations. This is the second attempt this year by this group to swindle businesses.

A firm called Corporate Records Service is contacting Illinois businesses in an attempt to collect a \$125 fee to fill out a corporation's "Annual Minutes Records Form." The Illinois Business Corporation Act does not require corporations to file a "Minutes Records Form" or pay such a fee with the state or any private entity.

"The problem is that the form this bogus firm is sending out looks similar to our Secretary of State's annual report form," White said. "We are concerned that companies are filing the form and paying the \$125 because they believe they are filing their annual report with us, as required by law."

The false notice, which is mailed in a green envelope, states that the fee must be paid by November 9, 2012. However, there is no fee due to the state for that purpose. The annual report fee is normally the only fee a corporation would pay. Illinois corporations should be on the alert for this and other similar attempts to defraud them.

The scam is also currently occurring in Ohio, Florida, Rhode Island, Texas, and Washington. The scam has been reported in Connecticut, Michigan, New York, North Carolina, and Massachusetts.

White is urging anyone who has become a victim of the scam to call the Secretary of State's Business Services Office immediately at 217-782-6961 or 312-793-3380. Their information will be important in recovering funds and prosecuting the offenders.

The Secretary of State Police is leading the investigation together with other state and federal agencies regarding this deceptive business practice.

White is recommending that corporations do not reply to the solicitation.

Businesses that have received the notice are encouraged to contact the Secretary of State's Business Services Office at 217-782-6961 or 312-793-3380.

-#-

WWW.CYBERDRIVEILLINOIS.COM

EXHIBIT

tabbies

10

matthews

ALERT TO VIRGINIA CORPORATIONS REGARDING SOLICITATION
FROM CORPORATE RECORDS SERVICE
(06/05/13)

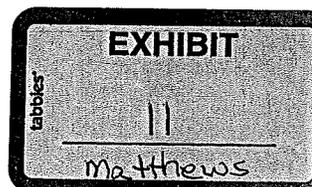
The Clerk's Office of the State Corporation Commission has received a number of inquiries recently concerning a business operating under the name of **Corporate Records Service**, whose address is listed as 7109 Staples Mill Road, #402, Richmond, VA 23228-4110. The inquiries relate to unsolicited mailings received by Virginia corporations from Corporate Records Service that include an official-looking form titled "2013 - Annual Minutes Form" and an offer from Corporate Records Service to prepare the document for a fee of \$125.

The solicitation notes that Corporate Records Services is not a government agency. However, the envelope and enclosed material create the appearance that the mailing is an official government communication. The form includes a "respond by" date and suggests the information requested is required by Virginia law. The form is not a document prescribed or recognized by the Commission.

The document asks for the names of shareholders, directors and officers, and looks somewhat like the annual report form prepared by the Commission and mailed to each corporation of record in the Clerk's Office. The Commission's annual report form includes the names of a corporation's directors and officers, but the form provided by Corporate Records Service is not a Commission annual report and will not be accepted as an annual report if submitted to the Commission.

A search of the business entity data base maintained by the Clerk's Office of the Commission reveals no company of record with the name Corporate Records Service.

Any corporation that has questions about the solicitation or form is encouraged to obtain advice from its lawyer or business advisor. Also, the Clerk's Office can be contacted at (804) 371-9733 or 1-866-722-2551 (toll-free in Virginia) and can provide information about business entity filing requirements under Virginia law.

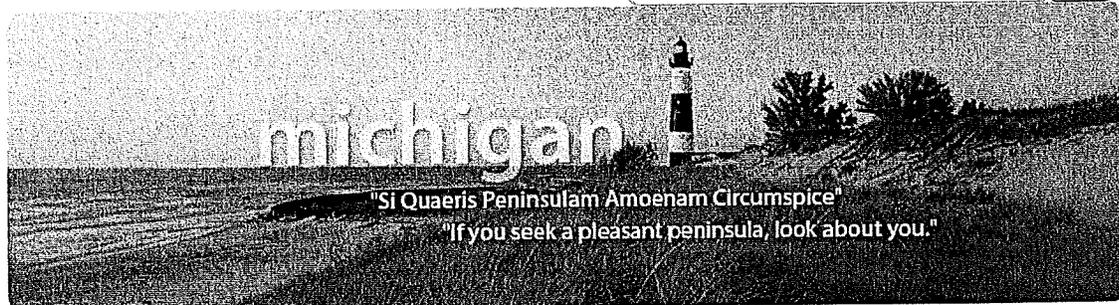


Agencies Online Services Directories Help Center Connect



official website for the state of michigan

Search bar with 'Go' button



About Michigan

Business & Economy

Education & Children

Resources & Environment

Health & Services

Michigan Government

Safety & Security

Travel & Recreation

Scam Targets Corporations; LARA alerts Michigan businesses of scheme collecting \$125 fee to prepare annual minutes

Contact: Mario Morrow 517-373-9280
Agency: Licensing and Regulatory Affairs

February 22, 2013 - Michigan Department of Licensing and Regulatory Affairs (LARA) Director Steve Arwood today warns Michigan corporations of a non-governmental entity called "Corporate Records Service" trying to collect a \$125 fee to prepare corporate meeting minutes. The misleading compliance solicitation implies that Michigan requires corporations and limited liability companies to complete an Annual Minutes Form and is designed to look like an official document, but it is not.

"Michigan corporations are not required by law to file annual meeting minutes with LARA's Corporations Division," said Arwood. "Our corporation customers should disregard these deceptive notices as they are not from the State of Michigan."



Michigan businesses are receiving an official-looking form called the "2013 Annual Minutes Form." (See the attached sample document.) The form implies that the recipient is obligated to complete and return it with a fee payment for the preparation of corporate meeting minutes. The accompanying instructions for completing the form list a return address at 5859 West Saginaw Highway, #343, Lansing, MI, 48917-2460. In September 2012, LARA warned of a similar scam requesting \$125 that involved a company with a similar name located at the same street address in Lansing.

"Unfortunately, these misleading mailings offering assistance for non-required services continue to go out and create confusion," Arwood said. "To clarify, Michigan corporations are legally required to file annual reports or annual statements (not annual minutes) and may do so online directly to the State of Michigan."

Michigan appears to be the latest state where corporations are being targeted to file annual minutes for a fee. Similar solicitation mailings have occurred in several other states including California, Colorado, Florida, Georgia, Indiana, Illinois, Massachusetts, and Texas where corporations have been victimized by such scams. These entities operate under identical or similar names and request payment fees ranging from \$125, \$150, \$175 to \$239 for the completion and submittal of an annual minutes statement.

The phony letters can look authentic. They may be addressed to the corporation, the resident agent, director or officers; cite a Michigan statute or a federal statute; and may appear to be issued by the Michigan Department of Licensing and Regulatory Affairs, Corporations, Securities, and Commercial Licensing Bureau, Corporations Division. If such notices are received, they are to be disregarded because they are neither issued by LARA nor any governmental agency.

Any Michigan corporation that receives a notice to have annual meeting minutes prepared and pay a fee to avoid dissolution of their corporation are advised to do the following:

Keep the notice, mailing envelope, and return envelope.

Contact the United States Postal Inspections Service to report mail fraud at: (877) 876-2455

or <http://postalinspectors.uspis.gov/forms/MailFraudComplaint.aspx>

Or contact the Michigan Office of the Attorney General at P.O. Box 30212, Lansing, MI 48909.

Legitimate notices and mailings to Michigan corporations are issued from LARA's Corporations Division and are mailed to the resident agent at the registered office address on record. When receiving any official-looking document, please review carefully and read the small print. If you are not sure, please contact the LARA Corporations Division at (517) 241-6470.

Customers with questions about their corporation, limited liability company or limited partnership are encouraged to use the Business Entity Search at www.michigan.gov/entitysearch to check their status. If an annual report or statement needs to be filed, customers may file online using www.michigan.gov/fileonline. Additional information is available on the Corporations Division website at www.michigan.gov/corporations or by calling the Corporations Division at (517) 241-6470.

For more information about LARA, please visit www.michigan.gov/lara

Follow us on Twitter at www.twitter.com/michiganLARA

"Like" us on Facebook or find us on YouTube at www.youtube.com/michiganLARA

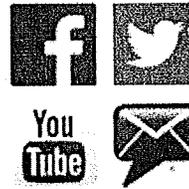
Help

- How do I...?
- Search MI.GOV
- Live Help Center
- About this Site
- Site Map
- Contact the Webmaster

Contacts

- Contact the Governor
- Departments & Agencies
- Employee Lookup
- Phone Book
- Find Your Representative
- Find Your Senator
- Michigan Courts
- Local Governments

Stay Connected



- Get Lottery Drawing Information!
- Get the Latest News!
- Sign Up for Email and Texts!

Michigan Locator

Search any location for State government services, state parks and recreation, or educational entities.

Lansing Weather



PLAINTIFF'S EXHIBIT 4

THE STATE OF NORTH CAROLINA

COUNTY OF WAKE

AFFIDAVIT OF CHERI MYERS, CORPORATIONS DIRECTOR,
NC DEPARTMENT OF THE SECRETARY OF STATE

I, Cheri Myers, being first duly sworn, depose and say as follows:

1. That I am the Director of the Corporations Division of the Department of the Secretary of State of North Carolina (hereinafter "the Department").
2. I am the custodian of the records of the Corporations Division of the Department, which are maintained in the regular course of business of the Department.
3. The Corporations Division is responsible for the administration of the laws of North Carolina regarding business entities.
4. That on or about August 6, 2013, various Corporation Division employees began receiving phone calls and e-mails from members of the public regarding an "Annual Corporate Records Form" solicitation that they received from a company called Corporate Records Service. An example of the mailing, plus the outer envelope and the return envelope that accompanied it, are attached to this affidavit.
5. The green outer envelope prominently and in extra large bold font shows the following admonition:

IMPORTANT

Annual Records Requirement Statement

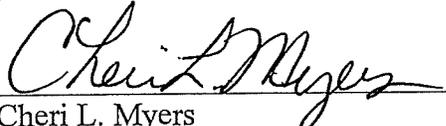
BUSINESS MAIL – TIME SENSITIVE

6. The enclosed solicitation from Corporate Records Service references three (3) North Carolina Business Corporation Act statutes relating to shareholders' meetings and the requirement to maintain certain corporate records.
7. The solicitation implies that the completion of the form and paying the fee of \$125.00 is mandatory. Among the confusing or misleading elements of the notice is a prominent, in extra large type font, demand to respond by a due date of September 4, 2013.

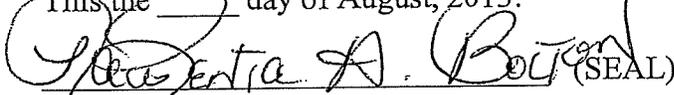


7. Since August 6, 2013, this office has received in excess of two hundred (200) calls, faxes or e-mails in regard to this mailing. North Carolina businesses are unsure if this is a government sponsored notice and are calling the Department for direction. Many callers are confused about if "Annual Corporate Records" is a mandatory filing that would jeopardize their corporate status if they fail to comply with the demands of the solicitation.

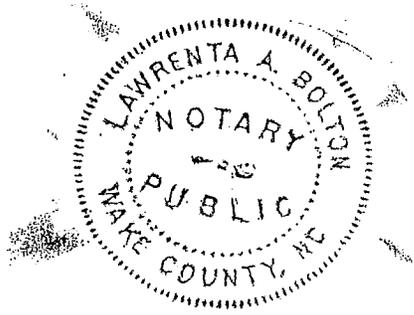
8. I have searched the Department's records and there is no entity going by the names "Corporate Records Service" authorized to transact business in the State of North Carolina.


Cheri L. Myers

Duly sworn to and subscribed before me,
This the 12 day of August, 2013.


Notary Public (SEAL)

My commission expires: 12-8-2013



Records Service
ards Mill Rd Ste. 112 #325
IC 27612-4276

if addressee name is incorrect, please forward this document
to an authorized employee representative immediately

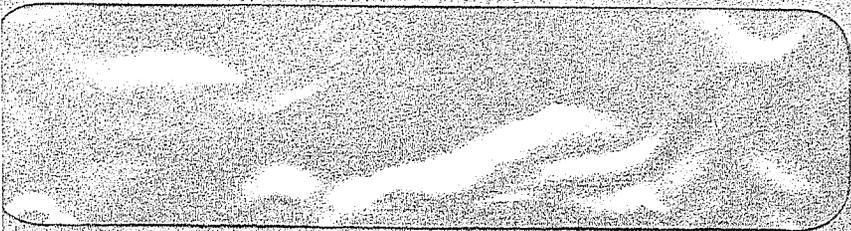


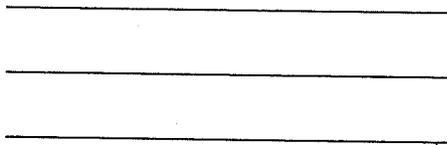
PRSR STD
U.S. POSTAGE
PAID
LLPS

UNITED STATES MAIL

NOT A GOVERNMENT DOCUMENT

IMPORTANT
Annual Records Requirement Statement
BUSINESS MAIL - TIME SENSITIVE





Place stamp here
Post Office will
not deliver mail
without postage

Corporate Records Service
3434 EDWARDS MILL RD STE. 112 #325
RALEIGH, NC 27612-4276

INSTRUCTIONS FOR COMPLETING THE ANNUAL CORPORATE RECORDS FORM
(North Carolina Corporations)

Review the accuracy of the preprinted corporate name and address and make any changes necessary.
PLEASE PRINT CLEARLY.

- Step 1 Enter the name of each stockholder. You must account for 100% of the outstanding shares.
- Step 2 Enter the name of all members of the Board of Directors. Members of the Board of Directors must be at least 18 years of age.
- Step 3 Enter the title of an officer and the name of the officer. You must have at least one officer. Typical officers are Chief Executive Officer (CEO), President, Vice President, Secretary, Assistant Secretary, Chief Financial Officer Treasurer, Chief Operations Officer (COO). In addition, list any other corporate officers.
- Step 4 Enter the name and email address of the person to contact if we have any questions.
- Step 5 Provide a valid payment method.
- Step 6 Sign the form to verify the validity of information provided and authorize your payment.
- Step 7 Return the entire completed form with payment.

Submit the Annual Corporate Records Form together with the payment for preparation of documents to satisfy the annual records requirement for your corporation. **Submit payment for \$125.00 payable to Corporate Records Service and mail to:**

CORPORATE RECORDS SERVICE
3434 Edwards Mill Rd Ste. 112 #325
Raleigh, NC 27612-4276
(888) 408-0886

Completed documents will be mailed to you within four weeks. Have each party sign the documents where indicated and keep them as permanent records.

Maintaining records is important to the existence of all corporations. In particular the recording of shareholders and director meetings. You can engage an attorney to prepare them, prepare them yourself, use some other service company or use our service.

Please note: The preparation of annual corporate records does not satisfy the requirement to file the annual report required by North Carolina Business Corporation Act §55-16-22. The annual report and instructions may be found online.

PLAINTIFF'S EXHIBIT 5

FILED

STATE OF WISCONSIN CIRCUIT COURT 2013 FEB -8 ~~DANE~~ COUNTY
BRANCH _____

CIRCUIT COURT
DANE COUNTY WI

STATE OF WISCONSIN
17 West Main Street
Madison, WI 53703,

Plaintiff,

v.

Case No. 2013-CX- 2

Complex Forfeiture: 30109

THE MANDATORY POSTER
AGENCY, INC.,
d/b/a CORPORATE RECORDS
SERVICE
Thomas Fata, Resident Agent
5859 W. Saginaw Highway, #343
Lansing, MI 48917-2460,

THIS IS AN AUTHENTICATED COPY OF THE
ORIGINAL DOCUMENT FILED WITH THE DANE
COUNTY CLERK OF CIRCUIT COURT.

STEVEN J. FATA
1703 Sunnydale
Lansing, MI 48917-1447,

CARLO ESQUEDA
CLERK OF CIRCUIT COURT

Defendants.

COMPLAINT

The State of Wisconsin, by its attorneys, J.B. Van Hollen, Attorney General and Lewis W. Beilin, Assistant Attorney General, brings this action against the defendants named above and alleges as follows:



PARTIES

1. Plaintiff State of Wisconsin is one of the United States of America and has its seat of government at State Capitol, Madison, Wisconsin.

2. Defendant The Mandatory Poster Agency, Inc. is a Michigan corporation that is conducting business in Wisconsin using the assumed name "Corporate Records Service." Neither The Mandatory Poster Agency, Inc. nor Corporate Records Service is registered as a foreign company with the Wisconsin Department of Financial Institutions ("DFI"). The resident agent for The Mandatory Poster Agency, Inc. is Mr. Thomas Fata, 5859 W. Saginaw Highway, #343, Lansing, MI, 48917-2460.

3. Defendant Steven J. Fata is an adult resident of the State of Michigan with an address of 1703 Sunnydale, Lansing, MI, 48917-1447.

JURISDICTION AND VENUE

4. The court has subject matter jurisdiction over this action pursuant to Wis. Stat. § 100.18(11)(d).

5. Venue is proper in Dane County pursuant to Wis. Stat. § 801.50(2)(a) insofar as the claim arose here.

FACTS

6. Starting on an unknown date, but no later than January 28, 2013, defendants sent to Wisconsin corporations by mail, or arranged to be sent here by mail, envelopes containing a document entitled "2013 – Annual

Minutes Form; Shareholders, Directors and Officers." (Hereinafter, "Annual Minutes Form.") The mailings were sent in green, standard-size envelopes marked: "Important: Annual Minutes Requirement Statement." The envelopes contain both the aforementioned "Annual Minutes Form" and a one-page sheet of "Instructions." Copies of the Annual Minutes Form and instruction sheet are attached to this Complaint as Exhibit A and incorporated herein by reference.

7. Each of the Annual Minutes Forms mailed to Wisconsin corporations is preprinted with the name of the corporation, as well as that corporation's DFI-issued registration number and the date on which the corporation was registered.

8. The Annual Minutes Form also includes the following statements: "Corporate Records Service will prepare and provide corporate minutes that meet the following requirements of Wisconsin law:

Wisconsin Statute § 180-1601 Corporate records . . ." A corporation shall keep as permanent records any of the following that has been prepared: (a) Minutes of meetings of its shareholders and board of directors."

Wisconsin Statute §180-0701: Annual meeting . . "Except as provided in sub. (4), a corporation shall hold a meeting of the shareholders annually at a time in or fixed in accordance with the bylaws." Wisconsin Statute §180-0820 states that "The board of directors may hold regular or special meetings in or outside this state."

9. The Annual Minutes Form also contains a series of boxes where the recipient is asked to fill in the names of each stockholder, director and officer of the corporation, and to identify a "contact person" for the corporation.

10. The Instructions sheet enclosed with the Annual Minutes Form contains the following statement: "Submit the Annual Minutes Form together with the payment for preparation of documents to satisfy the annual minutes requirement for your corporation."

11. Contrary to these statements, there is no requirement in Wisconsin law for a corporation to prepare minutes of its annual meeting of shareholders. Rather, Wisconsin law provides that *if* a corporation chooses to prepare minutes of its annual meeting, those minutes must be retained permanently. Wis. Stat. § 180.1601(1).

12. There also is no requirement in Wisconsin law that a corporation must file minutes of annual meetings with DFI.

13. Wisconsin law requires, *see* Wis. Stat. § 180.1622, that corporations file with DFI an annual report containing certain information about the corporation and its activities and ownership.

14. However, the information that solicited in the "Annual Minutes Form" in no way would constitute a satisfactory annual report filing under Wis. Stat. § 180.1622.

15. Moreover, the information solicited on the Annual Minutes Form, if filled out and returned, could not form the basis for the actual preparation of corporate meeting minutes. The preparer of meeting minutes would have either to be present at the meeting or else be provided with a recording or transcript or notes of some kind, and yet the Annual Minutes Form does not even request such information from the recipient. Thus, the service being offered appears to be illusory.

16. Although the Annual Minutes Form and the envelope that contains it include a few statements to the effect that Corporate Records Service is not a government agency, the overall design of the mailing gives it the "look and feel" of a government document, which it is not. DFI has not approved the "Annual Minutes Form" being mailed to Wisconsin corporations by the defendants.

17. The Annual Minutes Form states: "Please complete this Annual Minutes Form. . . . Mail the completed form with your payment for \$125 payable to Corporate Records Service in the enclosed envelope." The Annual Minutes Form requests payment by check or credit card authorization.

18. A pre-addressed return envelope is enclosed with each mailing. The address on the return envelope is 1360 Regent Street, #161, Madison, WI 53715. That address is also printed on the Annual Minutes Form.

19. 1360 Regent Street, Madison, Wisconsin is a UPS Store mail service location.

20. Box 161 at this UPS Store was leased by the "Labor Law Poster Service" of Lansing, Michigan, in March 2006. An Application for Delivery of Mail by Agent by the U.S. Postal Service was made for box 161 by defendant Steven J. Fata.

21. On February 4, 2013, defendant Steven J. Fata leased a second box (box #167) at the UPS Store at 1360 Regent Street in Madison, Wisconsin, in the name of "Corporate Records Service" of Lansing, Michigan. As noted above, "Corporate Records Service" is an assumed name of defendant The Mandatory Poster Agency, Inc.

22. Defendant Steven J. Fata made an Application for Delivery of Mail by Agent by the U.S. Postal Service for Box #167. That application identifies the officers of Corporate Records Service as "Steve Fata, Joe Fata, Tom Fata."

COUNT ONE

Wis. Stat. § 100.18: Fraudulent Misrepresentations

23. Plaintiff realleges all facts in the preceding paragraphs.

24. The Annual Minutes Form and the mailing envelope described above contain statements and representations subject to Wis. Stat. § 100.18(1), which prohibits the making of untrue, deceptive or misleading assertions,

representations and statements of fact to the public with intent to sell a service.

25. Defendant The Mandatory Poster Service, Inc. violated Wis. Stat. § 100.18(1) by making false, deceptive and/or misleading assertions, representations or statements in the Annual Minutes Form and on the envelope that contains it, including but not limited to the statements identified in the paragraphs above.

26. Defendant Steven J. Fata is personally liable for the foregoing violations in that, upon information and belief, he has had actual or constructive knowledge of, or otherwise had the ability to control the acts and practices that form the basis for the violations.

27. Each mailing sent by the defendants containing the false, misleading or deceptive representations represents a separate violation of Wis. Stat. § 100.18(1).

WHEREFORE, the plaintiff prays for the following relief:

A. A declaration that the defendants have violated Wis. Stat. § 100.18(1) in relation to the 2013-Annual Minutes Form mailer.

B. An injunction enjoining the defendants from distributing, through any channels of commerce, the Annual Minutes Form, instructions sheet, and envelope described in this Complaint, and further enjoining the defendants from violating Wis. Stat. § 100.18(1).

C. Civil forfeitures pursuant to Wis. Stat. § 100.26(4) of not less than \$50 nor more than \$200 for each violation of Wis. Stat. § 100.18(1).

D. Restitution of losses to consumers because of the acts and practices of the defendants, pursuant to Wis. Stat. § 100.18(11)(d).

E. Such other and further relief as the Court shall conclude is appropriate.

Dated this 7th day of February, 2013.

Respectfully submitted,

J.B. VAN HOLLEN
Attorney General



LEWIS W. BEILIN
Assistant Attorney General
State Bar #1038835

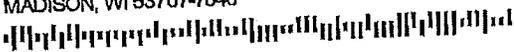
Attorneys for State of Wisconsin

Wisconsin Department of Justice
Post Office Box 7857
Madison, Wisconsin 53707-7857
(608) 266-3076
(608) 267-2778 (Fax)
beilinlw@doj.state.wi.us

2013 - ANNUAL MINUTES FORM SHAREHOLDERS, DIRECTORS AND OFFICERS

(Wisconsin Corporations)

IMPORTANT! FOLLOW INSTRUCTIONS EXACTLY WHEN COMPLETING THIS FORM. PLEASE PRINT.

Key Code K195521043	Notice Date 2013-01-23	Corporation Number 1W16983	Incorporation Date 07/11/1980
Business Address TEST RECORD THIRD QUARTER NAD 345 W WASHINGTON AV 3RD FL PO BOX 7846 MADISON, WI 53707-7846 		1826/51 E068214	 <div style="border: 1px solid black; padding: 5px; text-align: center;"> <p>Please Respond By Feb. 20, 2013</p> </div>

Corporate Records Service will prepare and provide corporate minutes that meet the following requirements of Wisconsin law:

WISCONSIN STATUTE §180-1601 Corporate records ... "A corporation shall keep as permanent records any of the following that has been prepared: (a) Minutes of meetings of its shareholders and board of directors."

WISCONSIN STATUTE §180-0701: Annual meeting ... "Except as provided in sub. (4), a corporation shall hold a meeting of the shareholders annually at a time in or fixed in accordance with the bylaws." WISCONSIN STATUTE §180-0820 states that "The board of directors may hold regular or special meetings in or outside this state."

Corporate minutes may also be prepared by corporate officers or other agents. CORPORATE RECORDS SERVICE IS NOT A GOVERNMENT AGENCY AND DOES NOT HAVE OR CONTRACT WITH ANY GOVERNMENT AGENCY TO PROVIDE THIS SERVICE.

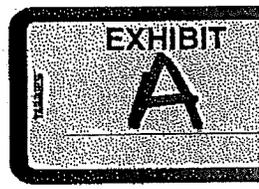
Please complete this Annual Minutes Form. Your information will be kept confidential and will not be disclosed to third parties. Mail the completed form with your payment for \$125.00 payable to Corporate Records Service in the enclosed envelope. If you have any questions, please email us at records@corp-records.com.

Step 1. SHAREHOLDERS Enter the names of each stockholder.

Name	Name

Step 2. CORPORATE DIRECTORS Enter the names of all members of the Board of Directors.

Name	Name
------	------



INSTRUCTIONS FOR COMPLETING THE ANNUAL MINUTES FORM
(Wisconsin Corporations)

Review the accuracy of the preprinted corporate name and address and make any changes necessary.
PLEASE PRINT CLEARLY.

- Step 1 Enter the name of each stockholder. You must account for 100% of the outstanding shares.
- Step 2 Enter the name of all members of the Board of Directors. Members of the Board of Directors must be at least 18 years of age.
- Step 3 Enter the title of an officer and the name of the officer. You must have at least one officer. Typical officers are Chief Executive Officer (CEO), President, Vice President, Secretary, Assistant Secretary, Chief Financial Officer Treasurer, Chief Operations Officer (COO). In addition, list any other corporate officers.
- Step 4 Enter the name and email address of the person to contact if we have any questions.
- Step 5 Provide a valid payment method.
- Step 6 Sign the form to verify the validity of information provided and authorize your payment.
- Step 7 Return the entire completed form with payment.

Submit the Annual Minutes Form together with the payment for preparation of documents to satisfy the annual minutes requirement for your corporation. **Submit payment for \$125.00 payable to Corporate Records Service and mail to:**

CORPORATE RECORDS SERVICE
1360 Regent Street #161
Madison, WI 53715-1255

Completed documents will be mailed to you within four weeks. Have each party sign the documents where indicated and keep them as permanent records.

Maintaining records is important to the existence of all corporations. In particular the recording of shareholders and director meetings. You can engage an attorney to prepare them, prepare them yourself, use some other service company or use our service.

Please note: The preparation of minutes of annual meetings does not satisfy the requirement to file the annual report required by the Wisconsin Business Corporations Act. The annual report and instructions may be found online.

PLAINTIFF'S EXHIBIT 6

STATE OF NORTH CAROLINA
COUNTY OF WAKE

IN THE GENERAL COURT OF JUSTICE
SUPERIOR COURT DIVISION
FILE NO. 07 CVS

STATE OF NORTH CAROLINA ex rel.)
ROY COOPER, Attorney General,)
)
Plaintiff,)
)
v.)
)
THE MANDATORY POSTER AGENCY,)
INC., a Michigan corporation, and)
STEVEN J. FATA, THOMAS FATA, and)
JOE FATA, Individually,)
)
Defendants.)

CONSENT JUDGMENT

WAKE COUNTY, CSC
2007 FEB 13 A 11:20
FILED

THIS CAUSE came on before the undersigned Superior Court Judge for entry of a Consent Judgment. The Court finds that the parties have resolved the matters in controversy and have consented to the terms of this judgment. The Court, with the consent of said parties, makes the following:

FINDINGS OF FACT

1. Defendant The Mandatory Poster Agency, Inc. ("Mandatory Poster") is a for-profit corporation incorporated in Michigan with a corporate business address of 6323 West Saginaw Highway, Suite 13, Lansing, Michigan 48917.
2. Defendant Steven J. Fata is the Vice President of Mandatory Poster.
3. Defendant Thomas Fata is the President of Mandatory Poster.
4. Defendant Joe Fata is the Secretary of Mandatory Poster.
5. The individual defendants are brothers and are the sole directors, shareholders,



and officers of Mandatory Poster.

6. Defendants solicit the sale of workplace posters by direct mail throughout the United States, using different assumed names (e.g., “the North Carolina Labor Law Poster Service,” and “The Connecticut Labor Law Poster Service,” or the “North Carolina Food Service Compliance Center,” and the “Illinois Food Service Compliance Center”) and using different addresses in each state.

7. Beginning in 2000, defendants, doing business as “The North Carolina Mandatory Poster Agency,” sent solicitations and sold workplace posters to North Carolinians.

8. Later, Mandatory Poster changed its assumed name from “The North Carolina Mandatory Poster Agency” to “The North Carolina Labor Law Poster Service,” and sent solicitations and sold workplace posters to North Carolinians.

9. Beginning in the summer of 2006, defendants, doing business as the “North Carolina Food Service Compliance Center,” sent mail solicitations, titled “ADVISORY TO ALL FOOD LICENSEES!” offering for sale “2006 Approved Hand Washing Posters” to North Carolinians. Using these solicitations, defendants sold hand washing posters to North Carolina consumers.

10. Defendants’ address on their solicitation materials and mailing envelopes for The North Carolina Labor Law Poster Service is 4558-B Capital Blvd. # 125, Raleigh, North Carolina 27604-4353, which is the address of a private mailbox and not a physical office location.

11. Defendants’ address on their solicitation materials and mailing envelopes for The North Carolina Food Service Compliance Center is 4558-B Capitol [sic] Blvd #125, Raleigh, North Carolina 27604, which is the address of a private mailbox and not a physical office

location.

12. The State filed this action seeking injunctive and other equitable relief against defendants, contending that they had engaged in and were continuing to engage in a pattern and practice of false and deceptive solicitations in violation of the North Carolina Unfair and Deceptive Trade Practices Act, N.C.G.S. §§ 75.1.1, *et seq.* The complaint alleges that the defendants engaged in deceptive business practices by sending mailings to North Carolinians which were intended to resemble government notices, used scare tactics to sell posters that are available free from government agencies, and contained inaccurate information concerning the legal requirements for hand washing posters.

13. Defendants acknowledge that they have agreed to the Consent Judgment, are aware of their right to a trial in this matter and have waived that right.

14. Defendants state that no promise of any kind or nature whatsoever (other than the written terms of this Consent Judgment) were made to them to induce them to enter into this Consent Judgment, that they have entered into this Consent Judgment voluntarily, and that this Consent Judgment constitutes the entire agreement between the Defendants and the State.

15. In consenting to the entry of this Consent Judgment, the defendants do not admit they have engaged in any illegal or deceptive practices. Instead, the defendants state that they are consenting to this Consent Judgment, including the monetary payment set forth herein, in the spirit of compromise solely to voluntarily resolve their differences with the State without the necessity of further costly and unnecessary litigation.

16. The parties have agreed to resolve their differences and the court approves the terms of their agreement and adopts them as its own determination of the parties' respective

rights and obligations.

DEFINITIONS

For purposes of this Consent Judgment, the following definitions shall apply:

17. "Mandatory Poster" means The Mandatory Poster Agency, Inc., whether it is doing business through its principals, directors, employees, representatives, successors, assigns, or through any other subsidiary, affiliate, corporation, assumed name or business entity.

18. "Clearly and conspicuously" means that in print communications, the message shall be in a type size and location sufficiently noticeable for an ordinary consumer to read and comprehend it, in print that contrasts with the background against which it appears. The message shall be in understandable language and syntax.

BASED ON THE FOREGOING FINDINGS OF FACT, THE RECORD HEREIN, AND THE AGREEMENTS OF THE PARTIES MANIFESTED HEREIN, the Court concludes as follows:

CONCLUSIONS OF LAW

19. The Court has jurisdiction over the parties and the subject matter of this action.

20. The agreement of the parties is just and agreeable with respect to both parties.

21. Good cause exists for the court to enter judgment as to defendants.

THEREFORE, IT IS ORDERED, ADJUDGED AND DECREED with the consent of the parties hereto as follows:

22. Defendants shall not misrepresent in any manner, directly or by implication, to North Carolina individuals or entities, the status of Mandatory Poster, or otherwise misrepresent, through the use of any solicitations, envelope, other mailing devices, or communications, that

Mandatory Poster, or the contents of its communications, are in any way connected to a government or government agency. The acts prohibited by this paragraph include, but are not limited to:

a. Use of a company name in a solicitation which includes words, terms or graphics that have the tendency or the capacity to mislead persons to believe that the solicitation is from a government agency or comes from an entity that has a contract with a government agency to provide a product or service, including, but not limited to, use of the words "agency" or "mandatory" in a company name;

b. Use of solicitation materials, including mailing envelopes or exterior of mailings, that include words, terms or symbols that have the tendency or capacity to mislead persons to believe that the solicitation is from a government agency or an entity that has a contract with a government agency to provide a product or services, including, but not limited to:

- i. use of the words "government information" and/or "official business";
- ii. symbols that use an outline of a state of the United States, the United States flag, an outline of the United States, the North Carolina state flag, seal or motto or the logo of a federal, state or local agency;
- iii. any reference to possible criminal or civil penalties, or other governmental actions, that may be imposed on businesses or individuals for failure to comply with requirements for posting, that is inaccurate or incomplete, or implies that penalties will or may be imposed for failing to purchase defendants' products or services;
- iv. use of names of departments that are non-existent or do not represent actual entities, divisions, or departments;

v. referring to a document as delivered by registered mail, express mail, special delivery, or any other form of mail or delivery other than the form actually used to deliver the document, such as bulk rate or first class mail;

vi. use of "Notice Numbers," "Business ID" numbers or similar identifiers in any communications relating to Mandatory Poster's product, unless such identifier is in fact employed by Mandatory Poster to identify the individual recipient pursuant to a previously existing business relationship between Mandatory Poster and the recipient. Notwithstanding this subsection vi, defendants may use a number on solicitations so long as it is not identified nor leaves the impression it is a customer number and the business purpose of using such a number is to allow the fulfillment of orders by automatically accepting customer information in the course of processing an order for merchandise.

23. Defendants shall not represent, directly or by implication, in a solicitation for the sale of posters, that the person to whom the solicitation is directed must purchase posters from Mandatory Poster or that Mandatory Poster is the sole source of the posters.

24. Defendants shall clearly and conspicuously disclose in all solicitations for the sale of posters that posters containing the same or similar information may be available free of charge from government agencies. Nothing contrary to, inconsistent with, or in mitigation of this message shall be used in the solicitation.

25. Defendants shall clearly and conspicuously disclose in all solicitations for the sale of posters that Mandatory Poster is not a government agency and does not have contracts with government agencies to sell posters to the public. Nothing contrary to, inconsistent with, or in mitigation of this message shall be used in the solicitation.

26. Defendants shall not misrepresent, directly or by implication or omission of material fact, the legal requirement(s) of postings in any solicitation.

27. Defendants shall not misrepresent, directly or by implication, the consequences of failing to post workplace posters, including local, state and federal inspections, civil and criminal liability.

28. Defendants shall not solicit North Carolinians using any writing which simulates or resembles an invoice, unless the intended recipient has previously contracted for goods, property, or services for which defendants seek proper payment.

29. Defendants shall not use solicitation materials, including the envelope, which create a false or misleading sense of urgency, including but not limited to the words "final notice" when defendants will be sending additional solicitations to the recipient or defendants' offer to sell posters remains open.

30. Defendants shall refund all monies paid by North Carolina consumers who purchased hand washing posters from defendants. Defendants shall provide the North Carolina Attorney General a list of all persons and entities who purchased hand washing posters from defendants, identifying the consumers by name, address, telephone number, the amount expended, and the amount and date of the refund. In addition, defendants shall pay \$10,000.00 to the North Carolina Department of Justice for consumer restitution, protection, education and enforcement purposes, attorneys fees, investigation costs and other appropriate purposes at the discretion of the Attorney General.

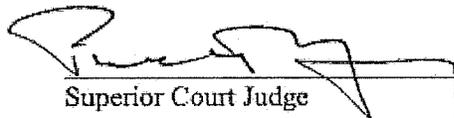
31. The terms of this Consent Judgment shall be binding on the defendants and their successors, subsidiaries, affiliates, officers, directors and agents. Defendants shall not use any

device including, but not limited to, the use of a business alter ego, corporation, partnership, trust, subcontractor or associate to circumvent this order.

IT IS FURTHER ORDERED that:

32. The Court shall retain jurisdiction of this matter for purposes of enforcing compliance with or punishing violations of the Consent Judgment. This Consent Judgment is enforceable by contempt proceedings and as provided in N.C.G.S. § 75-15.2.

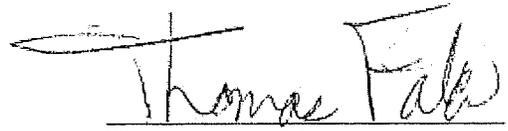
This the 12th day of February 2007.


Superior Court Judge

CONSENTED TO:

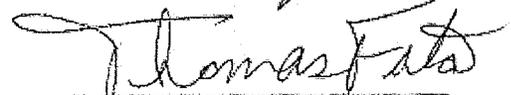
STATE OF NORTH CAROLINA
ex rel. ROY COOPER,
ATTORNEY GENERAL


Barbara A. Shaw
Assistant Attorney General
North Carolina Department of Justice
Consumer Protection Division
9001 Mail Service Center
Raleigh, North Carolina 27699-9001
Attorney for the Plaintiff,
State of North Carolina


The Mandatory Poster Agency, Inc.


Joe Fata


Steven J. Fata


Thomas Fata

PLAINTIFF'S EXHIBIT 7

COUNTY OF WAKE

NOW COMES Dana Mabeus, and being first duly sworn, alleges and says:

1. I am employed as a Registrar/Examiner for the Lobbying Compliance Division, Office of the Secretary of State of North Carolina, and have worked in this capacity for eighteen months.
2. On Thursday, August 22, 2013, I went to the Wake County Register of Deeds office located at 300 S. Salisbury Street, Suite 1700, Raleigh, NC to determine whether the entity Corporate Records Service (with the address of 3434 Edwards Mill Road, Suite 112, #325, Raleigh, NC 27612), had listed any D/B/As or other aliases with the Register of Deeds. I searched online and a search by the clerk rendered no D/B/As or other aliases had been listed by the entity.
3. On Thursday, August 22, 2013, while at the Wake County Register of Deeds office, I also checked to see whether the entity Corporate Records Service (with the address of 3434 Edwards Mill Road, Suite 112, #325, Raleigh, NC 27612), had any lawsuits and/or judgments against it in the State of North Carolina I searched online and a search by the clerk rendered none against the entity Corporate Records Services.
4. On Thursday, August 22, 2013, I called via telephone to the City of Raleigh, Revenue Services Section (who issues business licenses to entities doing business in the City) to determine whether the entity Corporate Records Service (with the address of 3434 Edwards Mill Road, Suite 112, #325, Raleigh, NC 27612), had listed any D/B/As or other aliases with the City of Raleigh. A search online and also by the Revenues Services Section clerk rendered no D/B/As or other aliases had been listed with the City of Raleigh.

FURTHERMORE, the affiant saith not.

This the 26th day of August, 2013.

Dana Mabeus

Dana Mabeus

Sworn to and subscribed before me this the 26th day of August, 2013.

Shirley Dupree (signature of notary public)

Notary Public

Shirley Dupree

Printed Name of Notary Public

My Commission Expires: November 13, 2016

(Affix Notary Seal Here)

